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10944 U.S. PTO

ATTORNEY'S DOCKET NO.
020431.1055

PATENT APPLICATION

1

APPLICATION FOR U.S. PATENT UNDER 37 C.F.R. § 1.53(b)
TRANSMITTAL FORM

Box Patent Application
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor: Douglas F. Connor, et al.
Filing Date: November 5, 2001
Entitled: GENERATING A RISK ASSESSMENT REGARDING A
SOFTWARE IMPLEMENTATION PROJECT

Enclosed are:

- ☒ Specification, Claims and Abstract (41 Total Pages)
☒ Drawing(s) (6 Total Sheet(s) of ☒ Formal ☐ Informal)
☒ Combined Declaration and Power of Attorney
 ☒ Newly executed (original or copy)
 ☐ Copy from a prior application
 (for continuation/divisional only)
☒ An Assignment of the invention to i2 Technologies US, Inc. is attached. A separate
cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an
Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h).
☒ Information Disclosure Statement (IDS) PTO-1449 (1 page)
 Copies of IDS Citations.
☐ Preliminary Amendment (page)
☒ Non-Publication Request Under 35 U.S.C. 122(b)(2)(B)(i) (1 page)
☒ Certificate of Mailing
☒ Return Receipt Postcard

Applicant is:

- ☒ Large Entity
☐ Small Entity
 ☐ Small Entity Statement enclosed
 ☐ Small Entity Statement filed in prior application.
 Status still proper and desired.

Express Mail Receipt: EL 500927513 US

The accompanying application is:

X Original.
___ Continuation ___ Divisional ___ Continuation-In-Part (CIP)
of prior application No. _____ which is hereby incorporated by reference therein.


| FEE CALCULATION | | | | | FEE |
|--------------------|--------|----------|-----------------|-------------|------------|
| | Number | | Number Extra | Rate | Basic Fee |
| | | | | | \$ 740.00 |
| Total Claims: | 35 | 35 -20 = | 15 | 15 X \$18 = | \$ 270.00 |
| Independent Claims | 5 | 5 - 3 = | 2 | 2 X \$84 = | \$ 168.00 |
| TOTAL FILING FEE = | | | | | \$1,178.00 |

X Enclosed is a check in the amount of **\$1,178.00** to satisfy filing fee requirements under 37 C.F. R. § 1.16.

X Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

BAKER BOTTS L.L.P.


Christopher W. Kennerly
Reg. No. 40,675
Attorneys for Applicants

Dated: November 5, 2001
CWK/cad4

Correspondence Address:

X Customer Number or Bar Code Label



Docket No.:
020431.1055

PATENT APPLICATION

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Douglas F. Connor, et al.
Filing Date: November 5, 2001
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BOX: Patent Application


Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

NON-PUBLICATION REQUEST

Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Respectfully submitted,
BAKER BOTTS L.L.P.
Attorneys for Applicants


Christopher W. Kennerly
Reg. No. 40,675

Please send all correspondence to:

Baker Botts L.L.P.
2001 Ross Avenue, Suite 600
Dallas, Texas 75201-2980
(214) 953-6812

Date: November 5, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Douglas F. Connor, et al.
Filing Date: November 5, 2001
Title: GENERATING A RISK ASSESSMENT
REGARDING A SOFTWARE IMPLEMENTATION
PROJECT

BOX PATENT APPLICATION

Assistant Commissioner
for Patents
Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application (41 pages), Non-Publication Request (1 page), Declaration and Power of Attorney (3 pages), Assignment (2 pages), Assignment Recordation Form (2 pages), Fee Transmittal Sheet (2 pages), Formal Drawings (6 sheets), Information Disclosure Statement with 8 references, PTO-1449 Form, Baker Botts return postcard (1 card), this Certificate of Mailing, and checks in the amounts of \$1,178.00 and \$40.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 5th day of November, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.



Willie Jiles

Express Mail Receipt
No. EL 500927513 US
Attorney's Docket No.:
020431.1055